



## CHUNG KUO Directors' and Officers' Liability and Company Reimbursement Insurance Pre-Investigation Costs Cover

本商品經本公司合格簽署人員檢視其內容業已符合保險精算原則及保險法令，惟為確保權益，基於保險業與消費者衡平對等原則，消費者仍應詳加閱讀保險單條款與相關文件，審慎選擇保險商品。本商品如有虛偽不實或違法情事，應由本公司及負責人依法負責。

本公司財務及業務等公開資訊，歡迎至本公司網站 (<https://www.cki.com.tw>) 查閱，或親蒞本公司(10044 台北市中正區武昌街一段五十八號)及各分支機構洽詢。  
免費申訴電話: 0800-053-588

107 年 12 月 22 日兆產備字第 1074300777 號函備查

By way of endorsement to the policy, the parties are hereby agreed as follows (subject otherwise to all other terms, conditions, limits of liability and exclusions of the policy):

This policy shall extend to pay the reasonable and necessary fees, costs and expenses of each Insured (but not including any remuneration of any Director or Officer or employee of the Company) incurred with the prior written consent of the Insurer, directly with respect to:

- (i) any Pre-Investigation; and
- (ii) preparations for any written notice or reports to any official body in connection with any Pre-Investigation.

For the purpose of this Extension, Pre-Investigation means:

- (i) raid or on-site visit to any Company by a regulator or an official body first occurring during the Policy Period that involves the production, review, copying or confiscation of records or interviews of any Insured; or
- (ii) a formal or official written notice received by an Insured during Policy Period from any regulator or official body, requiring the Insured to produce documents to, or answer questions; or
- (iii) formal notification by the Company or an Insured, whilst acting in the capacity of Director, Officer or employee of the Company, to any regulator or official body, first given during the Policy Period, where the Company or an Insured reasonably considers that a material breach of the Company's or an Insured's legal or regulatory duty has occurred and which the Company or an Insured is required to give notice of as set out in the regulator's or official body's rules and regulations.; or
- (iv) an internal inquiry conducted by the Company if and to the extent such an inquiry is requested by the regulator or official body following a formal notification given in (ii) above.

Pre-Investigation shall not mean routine regulatory supervision, inspection or compliance reviews, or any investigation which focuses on an industry rather than the Company or an Insured.

It is also understood and agreed that the sub-limit of liability under this Endorsement shall not exceed US\$\_\_\_\_\_, which is part of and not in addition to the Limit of Liability in the aggregate as shown of the Schedule. Retention apply to this Endorsement should be US\$\_\_\_\_\_.

In all other respects this policy remains unaltered.